

03-01-02

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Docket No. 4026-4002US1

Express Mail No. EV 062744750 US



↑AFFIX CUSTOMER NO. LABEL ABOVE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**UTILITY APPLICATION AND FEE TRANSMITTAL §(1.53(b))**

Commissioner for Patents  
Washington, DC 20231

Sir:

*L. Park  
4-3-02  
#2/Pat  
Am.H.A*

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- (1) Ephraim Carlebach  
33 Har Sinai Street  
Raanana 43307  
ISRAEL
- (2) Baruch Shlomo Krauss  
53 Addington Road  
Brookline, Massachusetts 02445  
United States of America

☐ Additional inventors are listed on a separate sheet

For: WAVEFORM INTERPRETER FOR RESPIRATORY ANALYSIS

Enclosed Are:

- 20 page(s) of specification
- 1 page(s) of Abstract
- 5 page(s) of claims
- 7 sheets of ☒ Formal ☐ Informal drawings
- 6 page(s) of Declarations and Power of Attorney (copy from prior application) filed (1) September 5, 2000 and (2) February 28, 2002.

☐ **REQUEST AND CERTIFICATION UNDER 35 U.S.C. §122(b)(2)(B)(i) (form PTO/SB/35)**

As indicated on the attached Request and Certification, Applicant(s) certify that the invention disclosed in the attached application HAS NOT and WILL NOT be the subject of

an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicant(s) therefore request(s) that the attached application NOT be published under 35 U.S.C. §122(b).

- ☒ Incorporation by Reference:
- ☒ The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.
- ☐ Deletion of Inventors (37 C.F.R. §1.63(d) and §1.33(b))  
Signed statement attached deleting inventor(s) named in the prior application serial no. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Microfiche Computer Program (Appendix)
- ☐ page(s) of Sequence Listing
- ☐ computer readable disk containing Sequence Listing
- ☐ Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment
- ☐ Charge the Assignment Recordation Fee to Deposit Account No. 13-4500, Order No. \_\_\_\_\_.
- ☒ Assignment Papers filed in the parent application Serial No. 09/590,202 recorded September 11, 2000 at Reel No. 011078, Frame 0930, and also filed on February 28, 2002.
- ☐ Certification of chain of title pursuant to 37 C.F.R. §3.73(b)
- ☒ Priority is claimed under 35 U.S.C. §119 for:  
Application No(s). 130371, filed June 8, 1999, in Israel (country).
- ☒ Certified Copy of Priority Document(s) [130371]
- ☐ filed herewith
- ☒ filed in application Serial No. 09/590,202, filed on November 21, 2001.
- ☐ English translation document(s) [\_\_\_\_\_]
- ☐ filed herewith
- ☐ filed in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Priority is claimed under 35 U.S.C. §119(e) for:  
Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.

- ☒ Information Disclosure Statements filed in parent application Serial No. 09/590,202
- ☐ Copy of [\_\_\_\_\_] cited references
- ☐ Copy of IDS with PTO Form-1449 filed on March 8, 2001 and Supplemental IDS with PTO Form-1449 filed on July 11, 2001 is enclosed
- ☒ References cited in parent application Serial No. 09/590,202, filed June 8, 2000.
- ☐ Related Case Statement under 37 C.F.R. §1.98(a)(2)(iii)
- ☐ A copy of related pending U.S. Application(s) Serial No(s): \_\_\_\_\_, filed \_\_\_\_\_, respectively, is attached hereto.
- ☐ A copy of related pending U.S. Application(s) entitled, \_\_\_\_\_, filed \_\_\_\_\_ to inventor(s) \_\_\_\_\_, respectively, is attached hereto.
- ☐ A copy of each related application(s) was submitted in parent application serial no. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ This is a ☒ continuation ☐ divisional ☐ continuation-in-part of prior application serial no. 09/590,202, filed June 8, 2000, to which priority under 35 U.S.C. §120 is claimed.
- ☒ Cancel in this application original claims 5, 10, 18-19 and 21-24 of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ A Preliminary Amendment will be filed shortly. (Claims to be added by this Amendment will be properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application).
- ☒ The status of the parent application is as follows:
- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application

- ☒ Amend the specification by inserting before the first line the sentence:  
 This is ☒ continuation ☐ divisional ☐ continuation-in-part of co-pending application  
 Serial No. 09/590,202, filed June 8, 2000.

I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$740.00
Total Claims	17- 20 =	0x	\$18.00	\$ 0.00
Independent Claims	4- 3 =	1x	\$84.00	\$ 84.00
<input type="checkbox"/> Multiple Dependent Claims		If marked, add fee of \$280.00 (\$140.00)		\$ 0.00
TOTAL:				\$ 824.00

- ☐ Small entity status is or has been claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$\_\_\_\_\_.
- ☒ A check in the amount of \$824.00 in payment of the application filing fees is attached.
- ☐ Charge fee to Deposit Account No. 13-4500, Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, **including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency** with the parent application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4026-4002US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
 ATTORNEY FOR APPLICANTS

Dated: February 28, 2002

By: Israel Blum  
 Israel Blum  
 Registration No. 26,710

Correspondence Address:

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Ephraim Carlebach

Group Art Unit: 3736

Serial No.:

Examiner: Mallari, Patricia C.

Filed: February 28, 2002

For: WAVEFORM INTERPRETER FOR RESPIRATORY ANALYSIS

**EXPRESS MAIL CERTIFICATE**Express Mail Label No.: **EV 062744750 US**Date of Deposit: **February 28, 2002**

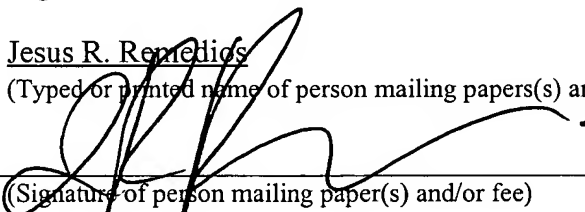
I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Fee Transmittal consisting of 25 pages of specification, 1 page of abstract, 5 pages of claims, and 7 pages of formal drawings;
2. Check in the amount of \$824.00 to cover the filing fee; and
3. Return Receipt Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Jesus R. Remedios

(Typed or printed name of person mailing papers(s) and/or fee)

  
(Signature of person mailing paper(s) and/or fee)**Correspondence Address:**

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